COMMONWEALTH OF KENTUCKY MINE SAFETY REVIEW COMMISSION ADMINISTRATIVE ACTION NO. 05-MSRC-009

COMMONWEALTH OF KENTUCKY,
OFFICE OF MINE SAFETY AND LICENSING

COMPLAINANT

FINAL ORDER FOR RICE

HOMER SAMS, LONNIE RICE, DELMAS JACKSON & FLOYD HIBBARD

RESPONDENTS

* * * * * * *

This matter having come before the Commission on the Joint

Motion to Approve Settlement Agreement between the Complainant,

Office of Mine Safety and Licensing (OMSL) and Respondent Lonnie

Rice, and the members of the Commission having reviewed the

Settlement Agreement filed on or about December 14, 2006 in the matter herein, and the Commissioners being sufficiently advised,

IT IS HEREBY **ORDERED** as follows:

- 1. The Joint Motion to Approve Settlement Agreement is **GRANTED**;
- 2. The formal hearing and pre-hearing conference are **CANCELLED**;
- 3. The Settlement Agreement signed by the parties and filed with the Commission is hereby incorporated by reference as if reproduced herein in full as a material part of this FINAL ORDER. A copy is attached hereto;

- 4. This Final Order only resolves the matters referenced herein, and any new enforcement action or subsequent penalties imposed during any probationary period are in addition to and not in lieu of those that may be sought by OMSL for the acts or omissions during that probationary period. This Final Order in no way prohibits OMSL from investigating or filing legal action based upon other activities or omissions by the Respondent not arising from the factual basis of the original violation and resolved herein.
- 5. This Final Order shall be considered to constitute a "first offense" as that term is defined in 805 KAR 8:010, Section 1(5). Future allegations of separate offenses by Respondent, resulting in an adjudication of guilt by the Commission, shall be deemed "subsequent offenses" as defined by 805 KAR 8:010, Section 1(13);
- 6. This Final Order represents a final disposition of all issues between the Complainant and the Respondent, in these matters. Failure to comply with the terms and conditions of this Final Order may result in further action by the Commission; and
- 7. Appellate rights: Pursuant to KRS 351.194(8) and KRS 13B.140(1), an appeal of an order of the Commission must be filed in the Franklin Circuit Court within thirty (30) days of the entry of this Final Order. The petition shall include the names and addresses of all parties of record in this proceeding and shall include a statement of the grounds upon which review is requested. A copy

of this Final Order shall accompany the petition. Copies of the petition must be served upon the Commission and all parties of record in this proceeding.

Entered this the 14 $^{\text{TH}}$ day of December 2006.

Denise Moore Davidson, Chair

MINE SAFETY REVIEW COMMISSION

CERTIFICATE OF SERVICE

I hereby certify that a true and correct copy of the foregoing ORDER was mailed, by regular mail, postage pre-paid and certified mail to the following this 18TH day of December 2006:

HON DONALD DUFF 212 WASHINGTON STREET P.O. BOX 1160 FRANKFORT, KY 40602 Counsel for respondents

And by messenger mail to:

HON. C. MICHAEL HAINES, GENERAL COUNSEL OFFICE OF LEGAL SERVICES NATURAL RESOURCES LEGAL DIVISION #2 HUDSON HOLLOW FRANKFORT KY 40601 502/564-2356 FAX 502/564 - 9212

And the original shall be kept on file:

Mine Safety Review Commission
132 Brighton Park Blvd.

Frankfort Ky 40601

Bryon Alan Thompson

Hearing Officer & General Counsel Mine Safety Review Commission

COMMONWEALTH OF KENTUCKY MINE SAFETY REVIEW COMMISSION Action No. 05-MSRC-009



KENTUCKY OFFICE OF MINE SAFETY & LICENSING

v.

PETITIONER

SETTLEMENT AGREEMENT BETWEEN OMSL, AND LONNIE RICE

HOMER SAMS, LONNIE RICE, DELMAS JACKSON & FLOYD HIBBARD

RESPONDENTS

* * * * * * * * * *

Come the Complainant, the Kentucky Office of Mine Safety & Licensing ("OMSL") and Respondent, Lonnie Rice ("Rice"), and hereby state that they have agreed to a settlement of the above-styled disciplinary proceeding, according to the following terms:

In consideration of the final resolution of this action against Rice, and the cancellation of the hearing as it pertains to him currently scheduled for December 14, 2006, Respondent hereby admits that the violations alleged in the OMSL complaint that initiated this disciplinary proceeding did occur on the dates asserted in said complaint at Century Operations, LLC No. 4 mine. Therefore, Rice hereby agrees to the following:

1. Underground Mine Foreman certificate A-813-78, Shot Firer Conventional certificate HN-354-80, Electrical Worker certificate HD-148-04, Surface Mine Foreman certificate S-839-85, and the Underground Miner and Surface Miner certificates issued to Rice by the Commonwealth of Kentucky shall be probated for a

period of six (6) months from the date of acceptance of this Settlement Agreement by the Mine Safety Review Commission.

2. Rice shall arrange for and take eight (8) hours of special training in regard to his duties and obligations as an underground mine foreman at the Barbourville District Office of OMSL. The special training shall be completed within one hundred twenty (120) days of the acceptance of this Settlement Agreement by the Mine Safety Review Commission.

3. During the probation periods set forth in Paragraph 1, above, Rice shall comply with all state and federal mine safety laws and regulations. The willful violation by Rice of any of said laws or regulations, unless otherwise specified herein, may result in OMSL filing a motion with the Mine Safety Review Commission to revoke any or all certifications issued to him by the Commonwealth of Kentucky.

The Respondent further agrees that this Settlement Agreement and any final order issued herein by the Mine Safety Review Commission will be considered by the Commission to constitute a "first offense", as that term is defined at 805 KAR 8:010, for him.

OMSL and the Respondent acknowledge and agree that there are no further promises, inducements, representations, or agreements in connection with this Settlement Agreement other than those expressly set forth in writing herein.

FLOYD HIBBARD LONNIE RICE

12/14/06

Acting Executive Director

Office of Mine Safety & Licensing

ue 12-14-06

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COUNSEL FOR RESPONDENTS

12/14/06

DATE

C. MICHAEL HAINES, ESQ. Natural Resources Legal Division

2 Hudson Hollow

Frankfort, Kentucky 40601

Ph: (502)564-2356 Fax: (502) 564-9212

COUNSEL FOR COMPLAINANT

CERTIFICATE OF SERVICE

This is to certify that a true and correct copy of the foregoing Settlement Agreement was served upon the following by mailing, postage prepaid, a true and correct copy of same to the following on this the 15th day of December, 2006:

Donald Duff, Esq. 212 Washington Street P.O. Box 1160 Frankfort, Kentucky 40602-1160

C. MICHAEL HAINES